

Dan Graur *ETH Zurich* 

Damien Aymon ETH Zurich

Chandramohan A. Thekkath Google Dan Kluser ETH Zurich Tanguy Albrici ETH Zurich

Ana Klimovic ETH Zurich

# Abstract

Processing input data plays a vital role in ML training, imј which is responsible for feeding data-hungry GPUs/TPUs with training examples, is a common bottleneck. Alleviating data stalls is critical yet challenging for users. While today's frameworks provide mechanisms to maximize input pipeline throughput (e.g., distributing data processing on remote CPU ing these mechanisms to jointly optimize training time and cost is non-trivial. Users face two key challenges. First, ML schedulers focus on GPU/TPU resources, leaving users on their own to optimize multi-dimensional resource allocations for data processing. Second, input pipelines often consume excessive compute power to repeatedly transform the same data. Deciding which source or transformed data to cache is non-trivial: large datasets are expensive to store, the compute time saved by caching is not always the bottleneck for end-toend training, and transformations may not be deterministic, hence reusing transformed data can impact accuracy.

# 1 Introduction



Figure 1: Training jobs benefit differently when given more CPU resources for input data processing per accelerator core.

transformed data to training nodes greatly impacts the time to accuracy and the overall cost of model training.

Removing bottlenecks in the input pipeline can improve end-to-end training time by over an order of magnitude and greatly reduce costs [47, 48]. However, optimizing ML data processing is non-trivial. Users face several key challenges.

First, allocating the right amount of CPU, memory, and storage for input data processing, to optimize training time and cost, is difficult. Users should allocate just enough resources for the input pipeline to produce batches of data at the throughput that the model can ingest data, which depends on the model's computational intensity and the hardware (# of GPUs) allocated for training. As shown in Figure 1, each model requires a different ratio of CPUs for data processing and GPUs or TPUs for training. Hence, although ML frameworks traditionally couple input data processing and training such that the two stages execute on the same node, it is becoming increasingly common to disaggregate data processing, with systems like tf.data service [25] and Meta's Data PrePreprocessing (DPP) Service [69]. Disaggregation enables customizing resource allocations per job. However, today's ML resource management systems focus on GPU allocations [28,44,65], leaving users on their own to decide input

ML data processing frameworks provide mechanisms for reusing memoized data transformations, such as tf.data's mining which (transformed) datasets are optimal to cache in fast storage is non-trivial. Transformed datasets are costly to store and slow to read if they are significantly larger in volume than source data, which can occur after decompression and data augmentations. Figure 2 shows that reusing memoized results stored on local SSDs of a training node does not always improve epoch time, since local SSD bandwidth may saturate when reading the larger transformed dataset. Even caching source data on local SSDs does not always improve epoch time compared to reading from cloud data lakes (e.g., we use GCS [27]) since model training may be the bottleneck. If an input pipeline applies random transformations to data each epoch, the caching decision is further complicated as reusing the transformed dataset from a single epoch can negatively impact model training dynamics [16, 40].



Figure 2: Caching source data or materializing data in local storage does not always improve training throughput.

Our key contributions are the design and implementation of autoscaling and autocaching policies for ML input data processing. We show that traditional resource autoscaling approaches (e.g., in Kubernetes [1]), which are based on CPU and memory utilization, are not sufficient to optimize training time and cost. Instead, we base our policies on applicationspecific metrics collected at input data workers and clients while a job is running. The Cachew dispatcher leverages the stateless nature of ML input data processing to adjust the number of workers per job during runtime. By monitoring batch time reported by clients, the dispatcher dynamically finds the minimum number of workers (i.e., minimum cost) that minimizes batch time (i.e., maximizes performance). By monitoring per-worker throughput and the volume of data produced by the input pipeline, the dispatcher also decides on whether reading data from Cachew's cluster cache is likely to improve performance compared to reading and transforming data from cloud data lakes on-the-fly. Cachew extends the tf.data API with an autocache operator, which allows users to specify up to which point in their input pipeline it is acceptable to cache and reuse data from a training dynamics perspective (e.g., before any random transformations).

We evaluate Cachew with microbenchmarks and three popular ML models and data pipelines from the Tensor-Flow Model Garden. We show that while the Kubernetes autoscaler under-provisions or over-provisions input data workers, Cachew is able to identify the optimal number of data workers to allocate for each job as well as the optimal caching strategy at each autocache operator location in the input pipeline to minimize training time and cost. We show that compared to scaling workers with Kubernetes, Cachew's policies reduce training cost by  $1.1 \times$  to  $3.8 \times$ .

#### 2 ML Input Data Processing

We summarize the key characteristics of ML input data pipelines (§ 2.1) and discuss why it is increasingly common to disaggregate data processing from model training (§ 2.2). § 2.3 provides an overview of existing mechanisms for fast, efficient input data pipeline execution.

<sup>&</sup>lt;sup>1</sup>Cachew is available at: https://github.com/eth-easl/cachew

# 2.1 ML Input Data Pipeline Characteristics

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While some transformations (e.g., decompression and augmentation) expand the volume of data read from storage, other transformations (e.g., filtering and sampling) decrease the volume of data fed to a model. A study of ML input pipelines at Google found that the ratio of data fed to a model versus the data read from storage varies widely across jobs. For 75% of jobs, data transformations reduced data volume [48].

**Loading data to training nodes:** The final step is to load data to GPUs/TPUs for model training. To avoid data stalls, the input pipeline must produce data at a throughput greater than or equal to the rate at which the model can consume data. The model's data ingestion rate depends on the algorithmic intensity of the training computations and the hardware FLOPS. Feeding data-hungry accelerators requires high software parallelism and pipelining for data processing [38, 48].

### 2.2 Why disaggregate input data processing?

ML input data processing and training consist of fundamentally different types of computation (user-defined data transformations vs. gradient updates) that primarily use different resources (CPUs vs. GPUs/TPUs). Yet ML frameworks have typically coupled these two stages, such that they run on the same nodes. Tight coupling has two major drawbacks. First, CPU/memory-intensive input pipelines can easily saturate host resources and limit training throughput. Zhao et al. [69] showed that loading data over the network from distributed storage - even without performing data transformations on the CPU - consumes significant CPU cycles and memory bandwidth in production deployments, leaving scarce resources available for transforming data on training nodes. Second, the ratio of resources required for input data processing vs. model training varies across jobs (as seen in Figure 1, where we varied the CPU cores available using the Linux taskset command). However, cloud providers typically limit the CPU cores and memory capacity that can be provisioned per accelerator on a virtual machine [9, 26]. The fixed ratio of CPU cores and memory attached to accelerators often leads to imbalanced usage (i.e., either idle accelerators or idle CPUs).

To improve resource utilization and avoid input data stalls, it is increasingly common to disaggregate data processing from model training [25,69]. Disaggregation is a well-known approach for improving resource allocation flexibility [23,36]. This flexibility can save cost, since users can distribute input data processing across as many or as few CPU worker nodes as needed to avoid data stalls, without provisioning additional expensive GPUs/TPUs.

# 2.3 Existing Mechanisms

**Disaggregation:** tf.data service supports executing input pipelines in a distributed manner [11]. The service consists of a centralized dispatcher and a number of remote input data workers. Clients (i.e., training nodes) register input pipelines defined in the tf.data API with the dispatcher, which shards data processing across all workers in the service. Clients fetch data directly from workers. The Data PreProcessing Service at Meta is another example of a framework that disaggregates input data processing [69]. In both frameworks, users are responsible for managing the number of input data workers and deciding per-job resource allocations.

**Autotuning:** tf.data's runtime and Plumber [38], a tool for diagnosing input data bottlenecks, can dynamically tune software parallelism and memory buffer sizes to maximize performance on a given training node [48]. However, this tuning does not scale resources beyond a single node.

#### **3** ML Input Data Service Challenges

While many useful mechanisms for ML input data processing exist, it remains challenging for users to leverage these mechanisms to minimize end-to-end ML training time and cost. We focus on two key challenges: scaling resources for data processing (§ 3.1) and saving compute resources by selectively caching (transformed) datasets (§ 3.2).

#### 3.1 Autoscaling Challenges

Selecting the right amount of compute, memory, and storage resources to provision for ML input data processing is critical yet challenging for ML users. Under-provisioning resources for data processing leads to data stalls, which leave expensive hardware accelerators idle, increasing end-to-end training time and cost. Over-provisioning resources for data processing leads to extra costs without improving end-toend performance. The optimal resource allocation for data processing depends on the compute intensity of data transformations in the input pipeline, the volume of data that must be read for each training batch, and the rate at which the pipeline must produce data to match model ingestion throughput.

Determining the right resource allocation for ML input data processing is non-trivial as each model and input data pipeline combination have unique requirements [69]. For example, in Figure 1, we vary the amount of CPU cores allocated for input data processing to show how many cores are needed to meet model training throughput requirements in various jobs. To process the COCO dataset [43] and train the RetinaNet [42] model, 4 CPU cores per TPU accelerator core are sufficient, whereas to process the ImageNet [21] dataset and train the EfficientNetv2 [59] model, the user should provision 12 CPU cores per TPU accelerator core to avoid input data stalls during training. We also observe that scaling memory capacity and bandwidth greatly impacts performance. Furthermore, we find that training throughput does not scale linearly with CPU and memory resources, making it difficult to model how resource allocation affects performance.

#### 3.2 Autocaching Challenges

Deciding which input data transformations to materialize and reuse versus which transformations to execute online during training is complex. At Google, where tf.data is heavily used in research and production ML training jobs, only 19% of jobs use any kind of caching operator [48]. Meanwhile, the same study found that many jobs would benefit from caching.

Making caching decisions requires users to reason about the cost of storing preprocessed data to save CPU cycles. This trade-off depends on the compute intensity of the input pipeline, the size of the materialized dataset, and the relative cost of CPU and storage resources. Transformed datasets may be slow to read and costly to store if they are significantly larger in volume than source data, which can occur with decompression and data augmentations. Caching does not always improve epoch time, in particular if reading source data from cloud storage and transforming it on-the-fly is sufficiently fast to saturate model ingestion throughput. Another challenge is that caching and reusing the results of transformations which randomly permute data from one epoch will remove this randomness across epochs. Reusing this transformed data within a job can significantly impact training dynamics. Prior work has shown that reusing random augmentations across epochs in a job is plausible, but must be done sparingly to avoid degrading model accuracy [16, 40]. For example, Revamper proposes caching partially augmented data elements and mixing them with freshly-computed, fullyaugmented elements [40].

An over-arching challenge is to jointly optimize autocaching and autoscaling. Regardless of whether input data workers are reading and transforming source data on-the-fly from data lakes or reading transformed data from a cache, we need to determine the right number of input data workers and storage bandwidth to provision to maximize training throughput while keeping costs low.

#### 4 Cachew Design

### 4.1 Service Architecture

Cachew consists of a centralized dispatcher, a dynamic number of input data workers, and a disaggregated storage cluster for data caching, as shown in Figure 3.

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Figure 3: Cachew system architecture. Solid lines depict control logic and metadata communication. Dotted lines show the flow of training data. Communication occurs via RPCs.

```
1 dataset = tf.data.TFRecordDataset(["file1", ...])
2 dataset = dataset.map(parse).filter(filter_func)
3 .autocache()
4 .map(rand_augment)
5 .shuffle().batch()
6 dataset = dataset.apply(distribute(dispatcherIP))
7 for element in dataset:
8 train_step(element)
```

Figure 4: User API to distribute tf.data input pipeline execution with Cachew. Users insert autocache to hint which data is acceptable to cache/memoize and reuse within a job.

by various hashes of the input pipeline dataflow graph, which we call fingerprints.

Clients fetch data from the workers that are assigned to them by the dispatcher. Clients and workers periodically send heartbeats to the dispatcher (by default every five seconds) to maintain membership in the service and provide metrics.

Cachew's cache cluster consists of high-bandwidth NVMe SSD storage nodes, which are disaggregated from input data workers. Hence, Cachew can scale storage independently, based on data caching capacity and bandwidth requirements. In addition to caching transformed datasets of frequently executed input pipelines, Cachew can also cache source datasets, to avoid I/O bottlenecks from cloud data lakes during training.

# 4.2 Cachew API

Cachew leverages the existing tf.data API for defining ML input data pipelines [48]. Users define a pipeline by chaining dataflow operators that can be parameterized with user-defined functions (UDFs). Figure 4 shows an example tf.data pipeline that reads input data from files, applies map and filter operators with UDFs for parsing, filtering, and randomly augmenting data, then shuffles and batches data.

Applying distribute in line 6 serializes the dataflow graph and sends it to the service dispatcher to register the job. If there are multiple training nodes in a job (i.e., for dis-

Source	Name	Description
Client	batch_time	Time taken to get and process the last 100 batches
	result_queue_size	Avg. number of batches located in the prefetch buffer over the last 100 batches
Worker	active_time	Avg. time per element spent in computation in the subtree rooted in the node
	bytes_produced	Total number of bytes produced by the node so far
	num_elements	Total number of elements produced by the node so far

# 4.3 Autoscaling Policy

We describe how Cachew leverages the per-job client metrics described in Table 1 to make worker scaling decisions.

Allocating workers for new jobs: The dispatcher starts by executing each new job with a single worker. After the ing batches, the dispatcher allocates a second worker for the job and monitors the change in batch\_time. We observe that averaging metrics over 100 batches generally provides a satisfactory level of noise smoothing. If batch\_time decreases by more than a threshold (which we empirically set to 3%) with the second worker, the dispatcher adds an additional worker. The dispatcher continues adding workers until a new worker improves batch\_time by less than 3%, in which case the scaling decision converges. The epoch time (and hence batch time) plateaus when the workers can provide data at sufficient throughput to saturate the model ingestion rate (e.g., the red dotted line in Figure 6). The true plateau occurs when the addition of a new worker leads to 0% change in batch time. However, we choose a slightly higher threshThis makes Cachew's autoscaling policy more stable. We observed that a 0% threshold would lead to unstable scaling decisions, as the slightest noise could trigger the addition of superfluous workers or the removal of essential workers from the cluster. In order to reduce noise, it is possible to gather the metrics over more batches, however this slows down the scaling process. §6.2 shows a sensitivity study.

Re-scaling over time: Since metrics can be noisy, Cachew periodically revisits the scaling decision each 10 new metrics received from the client (i.e., every 1000 batches). Cachew adds a worker if the current batch\_time is significantly higher than the value recorded at scaling convergence. To detect if we are on the batch time plateau and can afford to scale down, our intuition is that although batch time will be the same, the result queue will build up if workers are able to provide data faster than the model can ingest it. Hence, Cachew removes a worker if the current result gueue size is significantly (e.g., > 40%) higher than the size recorded at convergence. Cachew continues removing workers as long as the increase in batch\_time is below the threshold. Clients temporarily pause metric collection (e.g. 150 batches) when they are notified that a worker has been added or removed for their job. When the dispatcher de-allocates a worker from a job, the worker processes any remaining splits, which clients consume before the worker is removed. Hence, the model sees all data in an epoch, regardless of scaling events.

We observed that in some cases, when the input dataset consists of few files (e.g., the COCO dataset), the scaling policy may not converge within an epoch because workers may prefetch all dataset splits, leaving no splits for newly added workers to process until the next epoch. Cachew detects these scenarios and inserts an artificial epoch, which allows workers to fetch data from the next epoch while other workers process data from the current epoch. We do not introduce artificial epochs if workers are writing to cache.

#### 4.4 Autocaching Policy

When a new job is sent to Cachew, a hash of the entire input pipeline is generated, which is then used to check if the given pipeline has ever had some of its data cached. If yes, the dispatcher extracts a hash for each autocache op. This is done by traversing and hashing the input pipeline from its source nodes up until the autocache node. Cachew checks its



Figure 5: Cachew autocaching policy calculation.

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Let the LastOp's active\_time be active\_time\_L, active time autocache's be active timeA an and the bytes per element at an autocache op be  $b_{\lambda}$ . Cachew employs a throughput model of GlusterFS, formally defined as  $g_{GFS} : \mathbb{R}_{>0} \to \mathbb{R}_{>0}$ , which can infer the read time of b bytes from cache. Cachew initially computes the TotalComputeTime =  $N \times \text{active_time}_{L}$ . For each autocache op, Cachew computes the PreAutocacheTime = MX active\_time<sub>A</sub>, and then obtains the PostAutocacheTime = TotalComputeTime - PreAutocacheTime. Next. Cachew computes ProjectedCacheReadTime = Μ  $\times g_{GFS}(b_{\rm A})$ . Finally, the ProjectedTotalCacheTime = ProjectedCacheReadTime + PostAutocacheTime is computed. The ProjectedTotalCacheTime of each autocache op and the initial TotalComputeTime are compared, and the

option with the lowest value is selected.

Once the decision is made, scaling is re-enabled. Scaling is triggered when the execution policy of the input pipeline changes (e.g. from putting to getting data from cache). When this happens, the worker count of a job is set to 1, and the autoscaling policy is applied by initially scaling up.

#### 4.5 Multi-tenancy

Cachew supports multi-tenancy. Jobs submitted by different clients are accepted by Cachew, whose dispatcher applies the autoscale and autocache policies on each job. The jobs are self contained, and the autoscale and autocache decisions are independent of other jobs running in Cachew. To ensure such decisions are correct, metrics from different jobs with identical input pipelines are stored in the metadata store separately from one another using the job names. Moreover, to avoid performance interference, Cachew assigns each workers to at most one job at a time.

Our current prototype of Cachew assumes that tenants are mutually trusted and have permission to access each other's data. Hence, Cachew shares cached datasets across jobs from different tenants. In §7, we discuss how the implementation can be extended to implement data access control.

#### 4.6 Fault tolerance

**Dispatcher:** The dispatcher stores metadata in memory for fast look-ups. To avoid being a single point of failure, the dispatcher can journal its state to a durable directory, such that no state is lost when the dispatcher is restarted. Journaling is also supported in the vanilla tf.data service [25].

Workers: Our implementation builds on top of existing single-node tf.data checkpointing mechanisms, extending them to work in the distributed setting. Workers communicate with the dispatcher via heartbeats. If a parametrizable number of heartbeats are missed (we set this parameter to 2), the dispatcher considers the worker failed and initiates a failover. The dispatcher reassigns pending tasks to a free worker in the pool. The new worker recovers any progress the failed worker had made on the pending tasks by reading the latest checkpoint committed in remote storage. The new worker recomputes batches between the latest checkpoint and the time of failure, to make the new worker's iterator state match the old worker's iterator state at the time of failure. The client includes an incrementing index in its request which the new worker uses as time of failure to fast forward to. However, the new worker does not transmit the recomputed batches to the client since repeating data elements in training epochs can harm model accuracy. For instance, Mohan et al. observed a double-digit drop in Top-1 accuracy when training a ResNet18 for 70 epochs on ImageNet-1k without exactly-once semantics [46]. In contrast, Cachew guarantees that clients see each batch of input data exactly once during

### **5** Implementation

#### 5.1 Autocache Mechanisms

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without conflicts. To support asynchronous behaviour, the put and get op implementations both leverage queues and multi-threading. For the put op, data to be written to cache is placed in a queue. Multiple threads greedily dequeue elements and write them to cache. Each thread writes in its own file, which is closed when the size exceeds 250MiB and a new file is opened. The get op functions in the opposite manner, where threads read from cache and place elements in the queue. When downstream operations require data from the get op, they dequeue elements. Each thread requests the file paths to read from the dispatcher. Operations before the get op are not executed since the output is read from cache.

Since the ops are asynchronous, reads and writes will be performed out of order. Hence, cache reads and writes behave as a sliding-window shuffle of size  $w_s = s \times n$ , where  $w_s$  is the window size, *s* is the number of elements per cache file and *n* is the number of readers or writers.

# 6 Evaluation

### 6.1 Methodology

els and their corresponding input data pipelines in TensorFlow Model Garden [3]. ResNet-50 [31] is an image classification model whose input pipeline consists of parsing raw TFRecord files, converting images to float16 format, and applying a random crop and horizontal flip [4]. We use ImageNet [21] and observe that the data transformations increase the source dataset by  $2.6 \times$ . RetinaNet [42] is an object detection model whose input pipeline consists of parsing TFRecords, converting images to float16, applying a random horizontal flip, and a series of computationally-intensive operations that create candidate anchors at five different scale levels [5]. We train RetinaNet on the COCO [43] dataset and the data transformations increase the data volume by  $32.6\times$ . Finally, Sim-CLRv2 [15] is a semi-supervised learning framework used for visual representation learning model. Given a randomly sampled mini-batch of images, each image is augmented twice with a random crop, color distortion, and Gaussian blur, creün understehlterstehelterstehlterstehlterstehlterstehlterstehlterstehlterstehlterstehlte resentations by maximizing agreement between differently 

**Baselines:** We compare Cachew's resource scaling policy with the Kubernetes Horizontal Pod Autoscaler (HPA)



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**Execution modes:** We consider two different placements the user inserts autocache near the beginning of the input pipeline, immediately after a data read operator and before any data transformation operators. We call this placement source cache mode since it causes data workers to read source data from Cachew's cluster cache if the dispatcher decides to apply caching at this point in the pipeline. We also assume the user inserts autocache at the end of the input pipeline, after all data transformations. We refer to this placement as full cache mode, since input data workers will read fully transformed data from the Cachew cluster cache if the dispatcher decides to apply caching at this point in the pipeline. We compare the performance of the source cache and full cache execution modes with a *compute mode*, in which no data is reused in the input pipeline, i.e., Cachew reads source data from cloud storage and transforms data on-the-fly.



Figure 7: Cachew's first scaling decisions in compute mode relative to the value of the improvement threshold.

**Cluster hardware setup:** We run our experiments on Google Cloud. The dispatcher runs on a n2-standard-16 VM. We train ResNet-50 on n1-standard-32 VMs with four Tesla V100 GPUs. We train SimCLRv2 and RetinaNet models on v3-8 TPU VMs since the reference implementations are designed for training on TPUs. We use n2-standard-2 VMs with two 375GB NVMe SSDs for the GlusterFS storage cluster. The network bandwidth between the storage cluster, workers, and clients is at least 16 Gb/s.

#### 6.2 Cachew Autoscaling



Figure 8: Cachew's autocaching policy selects the execution mode that minimizes batch time.

Figure 7 shows Cachew's scaling decision sensitivity to the batch\_time improvement threshold value, which we sweep εina forma for which Cachew may later correct in the training process. Both ResNet50 and RetinaNet are robust to this threshold, as the addition of a worker yields clear epoch time benefits (see Figures 6a and 6b), thus the value of the threshold can be quite large. For SimCLR, the addition of a new worker does not always yield significant benefits to the epoch time (see Figure 6c). Consequently, for such a model, lower thresholds are more suitable. The downside of a lower threshold is that the autoscaling policy becomes more susceptible to noise in the metrics. This is visible in Figure 7, as the variance of the decision increases, and outlier decisions become more 毛<table-cell><table-cell><table-cell> tually converges to the right decision. Gathering metrics over multiple batches can help alleviate noise.

#### 6.3 Cachew Autocaching

To evaluate Cachew's caching policy, we run the service with an input pipeline in which we carefully control and simulate compute intensity. The input pipeline consists of reading input data from storage (56GB), increasing the size of data elements by  $2.5 \times$ , and sleeping for a controlled duration of time to simulate applying a time-consuming data processing



Figure 9: RetinaNet training timeline for the first 4 epochs. Cachew picks the right caching mode and number of workers.

operation. Figure 8 plots the time it takes to process a batch of elements as a function of the injected processing time, for the three different execution modes we consider. The experiment is designed such that the compute, source cache, and full cache execution modes are each optimal in a particular regime and verify that Cachew makes the optimal choice.

# 6.4 Autocaching & Autoscaling over Time

We demonstrate how Cachew jointly optimizes elastic scaling and caching to maximize epoch time and cost. Figure 9 plots the scaling and caching decisions that Cachew makes over time. As an example, we show the first four epochs of RetinaNet training, where we place two autocache ops: one after the reading ops, and one at the end of the input pipeline. The red curve shows the number of workers used for the job and orange vertical lines show when Cachew makes a scaling decision. Cachew starts by processing the pipeline in compute mode with a single worker (highlighted in yellow), first blocking scaling and profiling the worker. During the single-worker profiling phase, Cachew collects metrics to make a caching policy decision and decides on caching at the end of the input pipeline. This decision takes place at the time marked by the green vertical dashed line. The caching decision is applied at the end of the first epoch, when we enter the put epoch



(highlighted in blue) which writes the dataset to the cluster cache and takes longer as a result. Due to prefetching, splits are exhausted in the first two epochs before the autoscale completes. The other two epochs presented are in cache get mode (highlighted in green). In the third epoch, Cachew scales the number of workers up to 5, where no more improvements are observed. At this point Cachew removes the superfluous worker and converges to 4 workers. Cachew will continue to run the pipeline in get mode with 4 workers for the remaining epochs, and only adjust this number if input pipeline characteristics evolve, requiring less or more workers.

# 6.5 End-to-end performance and cost

#### 6.5.1 Time to Accuracy and Training Cost

Figure 10 shows the total training cost (bars on y-axis) and total training time (annotations) for the input data worker configurations that Kubernetes and Cachew select in the compute and source cache execution modes. As we saw in Figure 6, Kubernetes under-provisions input data workers for ResNet-50 compute mode, while Cachew picks the optimal worker count, allowing it to reduce training time by  $4.1 \times$  and cost by  $3.8 \times$ . For SimCLR, Kubernetes over-provisions workers, slightly reducing training time but Cachew still saves overall cost by 12-20% by optimizing the number of workers based on its relative improvement threshold in the scaling policy.

#### 6.5.2 Service Overhead

We compare the performance and resource overhead of running tf.data pipelines with the service versus locally on training nodes. The service requires additional CPU resources to achieve the same input pipeline throughput, due to the extra network hop (i.e., gRPCs) between data workers and training nodes. Since local tf.data workers fetch source data over the network and transform data on the training node, whereas Cachew clients fetch transformed data over the network, the service overhead also depends on the difference between the source and transformed dataset sizes. In our experiments, we find that the service should provision 30% to 50% more CPU



Figure 11: Multi-tenancy: Cachew detects cache hits across jobs while scaling workers for each job separately.

cores compared to the CPU cores we observe being heavily utilized when running the same input pipeline locally on training nodes. Note that this overhead is not an artifact of Cachew's scaling or caching policies, but rather a measurement of the overhead Cachew inherits from the distributed data processing mechanisms in tf.data service [25]. Data marshaling is known to consume significant cycles in datacenters [35]. Networking overheads can be reduced (e.g., by using RDMA), though this is not the focus of our work. The cost of using extra CPUs for data processing is justified as it allows keeping expensive GPUs/TPUs highly utilized and reducing end-to-end training time (see Figure 10).

# 6.6 Multi-tenancy

We show that Cachew can optimize input data processing across jobs. We run two jobs with the ResNet input data pipeline but different model ingestion rates (simulated with different sleep times in the dataset iteration loop on the clients). The second job has double the ingestion rate of the first. We again place autocache ops after reading the data and at the end of the input pipeline. Figure 11 shows how the batch processing time varies over time for each job as Cachew makes its scaling and execution mode decisions. The red curve shows the first job's number of workers over time. The epoch boundaries are highlighted by the red dotted vertical lines. This job progresses through a compute epoch (yellow highlight) with profiling, a put epoch (blue highlight), where it caches the data of the autocache at the end of the input pipeline and a get stage (green highlight), which consists of two get epochs. The job ultimately converges to two workers.

We show that the dispatcher detects a cache hit after the first job has written to cache and selects the cache get execution mode for the second job in all of its four epochs. The epochs are separated by blue dotted vertical lines. The blue curve shows the number of workers for the second job over time. As this job has double the ingestion rate requirements of the first job, it converges to four workers.

# 7 Discussion

**Data access control:** Our current prototype of Cachew assumes that clients have permission to access each other's data (e.g., tenants are all members of the same organization). The implementation can be extended with Access Control Lists (ACLs) to prevent unauthorized access to the data. Workers can check/set permissions before reading/writing datasets. If a job attempts to read from a dataset for which the client does not have read permission, the worker's request will fail and the client will get a permission error.

Model training dynamics: Though reusing the output of random data augmentations can negatively impact model accuracy, prior works have found that negative impacts can be mitigated by tuning the extent to which caching is applied in an input pipeline. Choi et al. showed that reusing data after random transformations has a small negative impact on the final accuracy trained models, reaching highly competitive out-of-sample error rates with fewer non-cached data instances than a model with no echoing [16]. Revamper [40] demonstrated that partially caching random transformations, while leaving some to be applied after reading from cache, has negligible accuracy penalties, as long as the downstream random transformations provide sufficient sample diversity. Hence, a good rule of thumb is to cache expensive random transformations, while applying highly diverse and inexpensive random transformations after the cache. For instance, in tasks such as image classification, inexpensive random transformations, such as random crop and flip, generally provide sufficient sample diversity [40].

Leveraging local resources on training nodes: Although our autoscaling policy has focused on leveraging remote CPU workers for data processing, tf.data service also supports running data processing on local workers, which execute on client training nodes. Adapting Cachew's autoscaling policy to leverage a mix of local and remote workers would ensure that the extra cost of remote workers is only incurred if the CPU/memory resources for data processing on client training nodes are not sufficient to avoid data stalls.

# 8 Related Work

§ 2.3 discussed existing mechanisms in input data frameworks, which serve as the foundation for Cachew's autoscaling and autocaching policies. We discuss other related work below.

Automated resource provisioning: Cluster management systems aim to automate resource allocation decisions, which are notoriously difficult for users [8, 20, 37, 45]. Generic cluster managers treat workloads as black boxes, making them unsuitable for jointly optimizing data caching and resource scaling decisions. Cachew is able to jointly optimize caching and scaling by using metrics that are tailored to the ML input data processing domain. Several resource management systems have been developed specifically for deep learning jobs [28, 44, 65]. However, they assume GPUs are the dominant resource for ML training, whereas recent work has shown that allocating resources for input data processing is equally important yet not well addressed by existing systems [64].

**Caching vs. recomputing intermediate data:** Caching data and memoizing data transformations is a common technique [10,41,52]. Trade-offs of caching vs. recomputing data arise in various contexts [7, 32, 33, 51]. We draw particular inspiration from Nectar [30], a datacenter-scale caching system that treats computations and their intermediate results as interchangeable. We address ML-specific challenges, where estimating the benefit of caching on training time is non-trivial since the model may be the bottleneck or the (transformed) dataset may be prohibitilely large to cache. We also jointly optimize caching and resource scaling.

#### 9 Conclusion

We proposed Cachew, a system architecture, to enable input data processing *as a service* for machine learning. To avoid input data stalls while minimizing cost, Cachew dynamically scales distributed data processing resources to match the rate at which each job's training nodes can ingest data, while avoiding over-provisioning. Cachew leverages its centralized view of data processing pipelines across mutually trusted jobs to reduce the overall compute power required for data processing, by transparently reusing (transformed) datasets within and across jobs when performance and cost efficient.

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# A Artifact Evaluation README

# A.1 Abstract

The artifact consists of the source code of Cachew<sup>2</sup>, the Cachew client binaries<sup>3</sup>, as well as scripts for building wheel files and Docker images. We also provide reference scripts for deploying GCE VMs for evaluation and for running the some representative experiments<sup>4</sup>. Do note that these scripts might not work as they depend on resources that might not be public. In these cases, experiment VMs will have to be manually set up.

The evaluation focuses on reproducing key experiments and their respective results which demonstrate how the main contributions of Cachew work:

- Autoscaling (*Figure 6a, compute curve*): show how input pipeline resources affect training time, how Cachew's autoscaling policy finds the right number of workers automatically, and how the Kubernetes Horizontal Pod Autoscaler fails to find the right scale.
- Autocaching (*Figure 8*): Show how Cachew's autocaching policy behaves under various execution scenarios, and how the most efficient execution mode is selected by Cachew.
- Multi-tenancy with autoscaling and autocaching (*Figure 11*): Show how Cachew behaves in multi-worker scenarios, selecting the most efficient execution mode, as well as the right scale for each job. Furthermore this experiment should also show how caching can be used in cross-job settings.

# A.2 Artifact check-list

The artifact with the components listed below is available at: https://github.com/eth-easl/cachew\_experiments.

- System to deploy: Cachew service (dispatcher, input data workers, remote cache cluster)
- Algorithms to evaluate: Cachew's autoscaling and autocaching policies
- Workloads to run:
  - *Figure 6a*: ResNet50 model and its open-source canonical input pipeline.
  - Figure 8: Synthetic input pipeline
  - Figure 11: Canonical ResNet50 input pipeline
- Binary: Cachew Docker image for workers and dispatcher, Cachew wheel file for client, GCE VM image.

- Model: ResNet50 and its canonical input pipeline
- Data Sets: ImageNet 2012 (stored in GCS bucket)
- Output: CSV files with metrics, text-based logs, and plots to compare with figures in the paper.
- Experiments: Experiments and deployment are fully scripted. See §A.4.
- Publicly available?: yes
- Code licenses: Apache 2.0
- Data licenses: ImageNet 3-Clause License
- Archived (provide DOI)? 10.5281/zenodo.6543943

# A.3 Prerequisites

**Estimated time and cost:** The estimated time needed to prepare the workflow is 30 minutes. The estimated execution time of experiments is approx. 15 hours in total. We provide an estimated breakdown of the time and cost for each experiment<sup>5</sup>.

# A.4 Instructions

Detailed instructions are provided in the artifact repository README. The evaluator will need to git clone https://github.com/eth-easl/cachew\_experiments.git locally, then use the scripts provided in the deploy folder to spin up a VM, and later tear it down. Once the VM is spun up, one needs to ssh into the VM and use the scripts in the relevant experiment directory. Once the experiment is finished, the VM can be torn down. Note that as mentioned before, some of the scripts might not work due to private dependencies. In such cases, use the scripts as reference.

<sup>&</sup>lt;sup>2</sup>https://github.com/eth-easl/cachew

<sup>&</sup>lt;sup>3</sup>gs://cachew-builds/tensorflow-2.8.0-cp39-cp39-linux\_x86\_64.whl <sup>4</sup>https://github.com/eth-easl/cachew\_experiments

<sup>&</sup>lt;sup>5</sup>Time and cost estimate sheet: https://tinyurl.com/52mwtccn

#### A.4.1 Getting Started

Please see the artifact repository Getting Started section of the README for instructions on how to write a simple Cachew input data pipeline and execute it locally.

### A.4.2 Reproducing Experiment Results

- 1. Deploy a VM for artifact evaluation using deploy/deploy.sh <vm-name> <gpu-count>
- Use the gcloud compute ssh <vm-name> command to ssh into the VM
- 3. Use cd \${HOME}/cachew\_experiments/experiments/<ename> where <ename> is the experiment name, and follow the README there and the associated scripts to run the experiments.
- 4. Use gcloud compute scp to collect whatever resource you find relevant after the experiment is done.
- 5. Exit the ssh session, and tear down the VM using the deploy/terminate.sh script.

For Figure 6a (compute curve) experiment, which required 4 GPUs, see the experiments/autoscaling directory. For Figure 8, see the experiments/autocaching directory. For Figure 11, see the experiments/multi-tenancy directory.

# A.5 Evaluation and Expected Results

Each of the three experiments produces a plot which should be comparable with the associated plot in the paper.

# A.5.1 Experiment Metrics

- *Figure 6a*: Epoch time in seconds, number of workers chosen by Cachew's autoscale policy and number of workers chosen by Kubernetes HPA
- *Figure 8*: Batch time, Cachew's autocache policy decisions
- *Figure 11*: Epoch time, autocache policy decision, autoscale policy decision

### A.5.2 Expected Results and Possible Variations

- *Figure 6a*: Epoch time can vary depending on cloud conditions. Decay may or may not be more or less aggressive due to this. Consequently, autoscale decision might vary around 4 workers (at most ±1 worker). While it is rare, it can happen that the Kubernetes HPA scaling also changes from one worker to two.
- *Figure 8*: Epoch times might vary due to cloud conditions. Shape of curves should still be the same (although *compute* might change as it depends heavily on GCS). Note that the points on the curves, and Cachew's decisions are recorded separately (i.e. in different runs). Consequently, conditions might change, and metrics could potentially vary leading Cachew to make a seemingly 'wrong' decision at points where the three options have similar throughput. Otherwise, Cachew decision expected to follow lowest batch time option.
- Figure 11: Job 1's first two epochs are not always expected to converge during autoscale phase (as Cachew prefers to move to next epoch in those compute modes), but it could happen at 3 workers (both epochs). Job 1's third epoch expected to converge around 2 workers. Job 2 is expected to converge around 4 workers. Epoch times for Job 1 should be around [366s, 363s, 266s, 253s] while for Job 2 around 158s initially then around 129s in the later epochs. The expected sequence of execution modes for Job 1 is [PROFILE, PUT, GET] and for Job 2 is only GET. Both jobs can have epoch extensions towards the end of a run. A reasonable amount of variability in the worker count (±1 worker) and epoch times is expected. This experiment is expected to be the relatively volatile, and emphasis should be placed on epoch time convergence and the autocache decisions. On rare occasions the autoscaling decisions can converge to a wrong scale due to the short and synthetic nature of the experiment and the implicit noise this causes on the scaling metrics. As this is a short job, Cachew cannot correct the scale in good time. We have also recently identified a bug caused by the merge with the recent TensorFlow 2.8 codebase which affects our cache store. More detailed information regarding this experiment's expected outcome and variability can be found in its README.

# A.5.3 Experiment customization